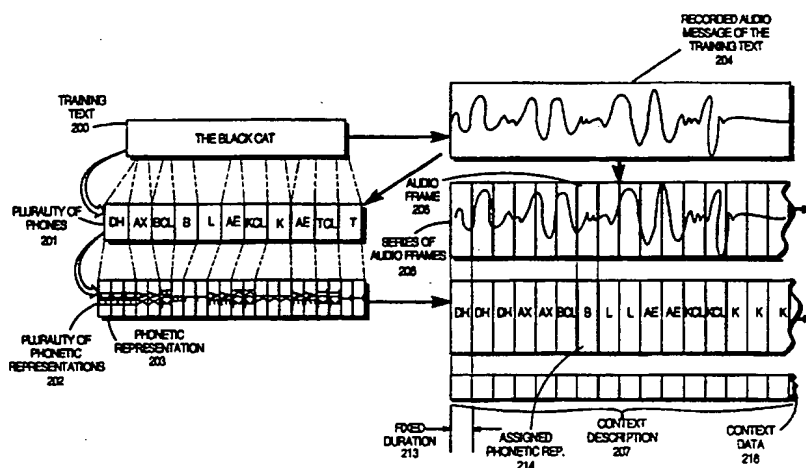




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(54) Title: A METHOD AND APPARATUS FOR CONVERTING TEXT INTO AUDIBLE SIGNALS USING A NEURAL NETWORK



## (57) Abstract

Text may be converted to audible signals, such as speech, by first training a neural network using recorded audio messages (204). To begin the training, the recorded audio messages are converted into a series of audio frames (205) having a fixed duration (213). Then, each audio frame is assigned a phonetic representation (203) and a target acoustic representation, where the phonetic representation (203) is a binary word that represents the phone and articulation characteristics of the audio frame, while the target acoustic representation is a vector of audio information such as pitch and energy. After training, the neural network is used in conversion of text into speech. First, text that is to be converted is translated to a series of phonetic frames of the same form as the phonetic representations (203) and having the fixed duration (213). Then the neural network produces acoustic representations in response to context descriptions (207) that include some of the phonetic frames. The acoustic representations are then converted into a speech wave form by a synthesizer.

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5

## A Method And Apparatus For Converting Text Into Audible Signals Using A Neural Network

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### Field of the Invention

This invention relates generally to the field of converting text into audible signals, and in particular, to using a neural network to convert text into audible signals.

15

### Background of the Invention

Text-to-speech conversion involves converting a stream of text into a speech wave form. This conversion process generally includes the conversion of a phonetic representation of the text into a number of speech parameters. The speech parameters are then converted into a speech wave form by a speech synthesizer. Concatenative systems are used to convert phonetic representations into speech parameters. Concatenative systems store patterns produced by an analysis of speech that may be diphones or demisyllables and concatenate the stored patterns adjusting their duration and smoothing transitions to produce speech parameters in response to the phonetic representation. One problem with concatenative systems is the large number of patterns that must be stored. Generally, over 1000 patterns must be stored in a concatenative system. In addition, the transition between stored patterns is not smooth. Synthesis-by-rule systems are also used to convert phonetic representations into speech parameters. The synthesis-by-rule systems store target speech parameters for every possible phonetic representation. The target speech parameters are modified based on

- the transitions between phonetic representations according to a set of rules. The problem with synthesis-by-rule systems is that the transitions between phonetic representations are not natural, because the transition rules tend to produce only a few styles of transition.
- 5 In addition, a large set of rules must be stored.

- Neural networks are also used to convert phonetic representations into speech parameters. The neural network is trained to associate speech parameters with the phonetic
- 1 0 representation of the text of recorded messages. The training results in a neural network with weights that represents the transfer function required to produce speech wave forms from phonetic representations. Neural networks overcome the large storage requirements of concatenative and synthesis-by-rule systems, since
- 1 5 the knowledge base is stored in the weights rather than in a memory.

- One neural network implementation used to convert a phonetic representation consisting of phonemes into speech parameters uses as its input a group or window of phonemes. The number of phonemes
- 2 0 in the window is fixed and predetermined. The neural network generates several frames of speech parameters for the middle phoneme of the window, while the other phonemes in the window surrounding the middle phoneme provide a context for the neural network to use in determining the speech parameters. The problem
- 2 5 with this implementation is that the speech parameters generated don't produce smooth transitions between phonetic representations and therefore the generated speech is not natural and may be incomprehensible.

- 3 0 Therefore a need exist for a text-to-speech conversion system that reduces storage requirements and provides smooth transitions between phonetic representations such that natural and comprehensible speech is produced.

3 5

### Brief Description of the Drawings

FIG. 1 illustrates a vehicular navigation system that uses text-to-audio conversion in accordance with the present invention.

FIG. 2-1 and 2-2 illustrate a method for generating training data for a neural network to be used in conversion of text to audio in accordance with the present invention.

10

FIG. 3 illustrates a method for training a neural network in accordance with the present invention.

FIG. 4 illustrates a method for generating audio from a text stream in accordance with the present invention.

15

FIG. 5 illustrates a binary word that may be used as a phonetic representation of an audio frame in accordance with the present invention.

20

### Description of a Preferred Embodiment

The present invention provides a method for converting text into audible signals, such as speech. This is accomplished by first training a neural network to associate text of recorded spoken messages with the speech of those messages. To begin the training, the recorded spoken messages are converted into a series of audio frames having a fixed duration. Then, each audio frame is assigned a phonetic representation and a target acoustic representation, where the phonetic representation is a binary word that represents the phone and articulation characteristics of the audio frame, while the target acoustic representation is a vector of audio information such as pitch and energy. With this information, the neural network is

25

30

trained to produce acoustic representations from a text stream, such that text may be converted into speech.

5 The present invention is more fully described with reference to FIGs. 1 - 5. FIG. 1 illustrates a vehicular navigation system 100 that includes a directional database 102, text-to-phone processor 103, duration processor 104, pre-processor 105, neural network 106, and synthesizer 107. The directional database 102 contains a set of text  
10 messages representing street names, highways, landmarks, and other data that is necessary to guide an operator of a vehicle. The directional database 102 or some other source supplies a text stream 101 to the text-to-phone processor 103. The text-to-phone processor 103 produces phonetic and articulation characteristics of the text stream 101 that are supplied to the pre-processor 105. The pre-processor 105 also receives duration data for the text stream 101  
15 from the duration processor 104. In response to the duration data and the phonetic and articulation characteristics, the pre-processor 105 produces a series of phonetic frames of fixed duration. The neural network 106 receives each phonetic frame and produces an  
20 acoustic representation of the phonetic frame based on its internal weights. The synthesizer 107 generates audio 108 in response to the acoustic representation generated by the neural network 106. The vehicular navigation system 100 may be implemented in software using a general purpose or digital signal processor.

25 The directional database 102 produces the text to be spoken. In the context of a vehicular navigation system, this may be the directions and information that the system is providing to guide the user to his or her destination. This input text may be in any  
30 language, and need not be a representation of the written form of the language. The input text may be a phonetic form of the language.

35 The text-to-phone processor 103 generally converts the text into a series of phonetic representations, along with descriptions of syntactic boundaries and prominence of syntactic components. The

conversion to a phonetic representation and determination of prominence can be accomplished by a variety of means, including letter-to-sound rules and morphological analysis of the text.

Similarly, techniques for determining syntactic boundaries include  
5 parsing of the text and simple insertion of boundaries based on the locations of punctuation marks and common function words, such as prepositions, pronouns, articles, and conjunctions. In the preferred implementation, the directional database 102 provides a phonetic and syntactic representation of the text, including a series of phones, a  
10 word category for each word, syntactic boundaries, and the prominence and stress of the syntactic components. The series of phones used are from Garafolo, John S., "The Structure And Format Of The DARPA TIMIT CD-ROM Prototype", National Institute Of Standards And Technology, 1988. The word category generally  
15 indicates the role of the word in the text stream. Words that are structural, such as articles, prepositions, and pronouns are categorized as functional. Words that add meaning versus structure are categorized as content. A third word category exist for sounds that are not a part of a word, i.e., silences and some glottal stops.  
20 The syntactic boundaries identified in the text stream are sentence boundaries, clause boundaries, phrase boundaries, and word boundaries. The prominence of the word is scaled as a value from 1 to 13, representing the least prominent to the most prominent, and the syllabic stress is classified as primary, secondary, unstressed or  
25 emphasized. In the preferred implementation, since the directional database stores a phonetic and syntactic representation of the text, the text-to-phone processor 103 simply passes that information to both the duration processor 104 and the pre-processor 105.

30 The duration processor 104 assigns a duration to each of the phones output from the text-to-phone processor 103. The duration is the time that the phone is being uttered. The duration may be generated by a variety of means, including neural networks and rule-based components. In the preferred implementation, the duration  
35 (*D*) for a given phone is generated by a rule-based component as follows:

The duration is determined by equation (1) below:

$$D = d_{\min} + t + (\lambda(d_{\text{inherent}} - d_{\min})) \quad (1)$$

5

where  $d_{\min}$  is a minimum duration and  $d_{\text{inherent}}$  is an inherent duration both selected from Table 1 below.

Table 1		
PHONE	$d_{\min}$ (msec)	$d_{\text{inherent}}$ (msec)
aa	185	110
ae	190	85
ah	130	65
ao	180	105
aw	185	110
ax	80	35
axh	80	35
axr	95	60
ay	175	95
eh	120	65
er	115	100
ey	160	85
ih	105	50
ix	80	45
iy	120	65
ow	155	75
oy	205	105
uh	120	45
uw	130	55
ux	130	55
el	160	140
hh	95	70
hv	60	30
l	75	40
r	70	50



w	75	45
y	50	35
em	205	125
en	205	115
eng	205	115
m	85	50
n	75	45
ng	95	45
dh	55	5
f	125	75
s	145	85
sh	150	80
th	140	10
v	90	15
z	150	15
zh	155	45
bcl	75	25
dcl	75	25
gcl	75	15
kcl	75	55
pcl	85	50
tcl	80	35
b	10	5
d	20	10
dx	20	20
g	30	20
k	40	25
p	10	5
t	30	15
ch	120	80
jh	115	80
q	55	35
nx	75	45
sil	200	200

epi

30

30

The value for  $\lambda$  is determined by the following rules:

- 5        If the phone is the nucleus, i.e., the vowel or syllabic  
consonant in the syllable, or follows the nucleus in the last  
syllable of a clause, and the phone is a retroflex, lateral, or  
nasal, then
- $$\lambda_1 = \lambda_{initial} \times m_1$$
- and  $m_1 = 1.4$ , else
- 10         $\lambda_1 = \lambda_{initial}$
- If the phone is the nucleus or follows the nucleus in the last  
syllable of a clause and is not a retroflex, lateral, or nasal, then
- $$\lambda_2 = \lambda_1 m_2$$
- 15        and  $m_2 = 1.4$ , else
- $$\lambda_2 = \lambda_1$$
- If the phone is the nucleus of a syllable which doesn't end a  
phrase, then
- 20         $\lambda_3 = \lambda_2 m_3$
- and  $m_3 = 0.6$ , else
- $$\lambda_3 = \lambda_2$$
- If the phone is the nucleus of a syllable that ends a phrase and  
is not a vowel, then
- 25         $\lambda_4 = \lambda_3 m_4$
- and  $m_4 = 1.2$ , else
- $$\lambda_4 = \lambda_3$$
- 30        If the phone follows a vowel in the syllable that ends a phrase,  
then
- $$\lambda_5 = \lambda_4 m_5$$
- and  $m_5 = 1.4$ , else

9

$$\lambda_5 = \lambda_4$$

If the phone is the nucleus of a syllable that does not end a word, then

5

$$\lambda_6 = \lambda_5 m_6$$

and  $m_6 = 0.85$ , else

$$\lambda_6 = \lambda_5$$

1 0

If the phone is in a word of more than two syllables and is the nucleus of a syllable that does not end the word, then

$$\lambda_7 = \lambda_6 m_7$$

and  $m_7 = 0.8$ , else

$$\lambda_7 = \lambda_6$$

1 5

If the phone is a consonant that does not precede the nucleus of the first syllable in a word, then

$$\lambda_8 = \lambda_7 m_8$$

and  $m_8 = 0.75$ , else

$$\lambda_8 = \lambda_7$$

2 0

If the phone is in an unstressed syllable and is not the nucleus of the syllable, or follows the nucleus of the syllable it is in, then

$$\lambda_9 = \lambda_8 m_9$$

2 5

and  $m_9 = 0.7$ , unless the phone is a semivowel followed by a vowel, in which case then

$$\lambda_9 = \lambda_8 m_{10}$$

and  $m_{10} = 0.25$ , else

$$\lambda_9 = \lambda_8$$

3 0

If the phone is the nucleus of a word-medial syllable that is unstressed or has secondary stress, then

$$\lambda_{10} = \lambda_9 m_{11}$$

and  $m_{11} = 0.75$ , else

3 5

$$\lambda_{10} = \lambda_9$$

10

If the phone is the nucleus of a non-word-medial syllable that is unstressed or has secondary stress, then

$$\lambda_{11} = \lambda_{10} m_{12}$$

5 and  $m_{12} = 0.7$ , else

$$\lambda_{11} = \lambda_{10}$$

If the phone is a vowel that ends a word and is in the last syllable of a phrase, then

10  $\lambda_{12} = \lambda_{11} m_{13}$

and  $m_{13} = 1.2$ , else

$$\lambda_{12} = \lambda_{11}$$

15 If the phone is a vowel that ends a word and is not in the last syllable of a phrase, then

$$\lambda_{13} = \lambda_{12} (1 - (m_{14} (1 - m_{13})))$$

and  $m_{14} = 0.3$ , else

$$\lambda_{13} = \lambda_{12}$$

20 If the phone is a vowel followed by a fricative in the same word and the phone is in the last syllable of a phrase, then

$$\lambda_{14} = \lambda_{13} m_{15}$$

and  $m_{15} = 1.2$ , else

$$\lambda_{14} = \lambda_{13}$$

25

If the phone is a vowel followed by a fricative in the same word and the phone is not in the last syllable of a phrase, then

$$\lambda_{15} = \lambda_{14} (1 - (m_{14} (1 - m_{15})))$$

else

30

$$\lambda_{15} = \lambda_{14}$$

If the phone is a vowel followed by a closure in the same word and the phone is in the last syllable in a phrase, then

$$\lambda_{16} = \lambda_{15} m_{16}$$

35

and  $m_{16} = 1.6$ , else

11

$$\lambda_{16} = \lambda_{15}$$

If the phone is a vowel followed by a closure in the same word and the phone is not in the last syllable in a phrase, then

$$\lambda_{17} = \lambda_{16}(1 - (m_{14}(1 - m_{16})))$$

else

$$\lambda_{17} = \lambda_{16}$$

If the phone is a vowel followed by a nasal and the phone is in the last syllable in a phrase, then

$$\lambda_{17} = \lambda_{16}m_{17}$$

and  $m_{17} = 1.2$ , else

$$\lambda_{17} = \lambda_{16}$$

If the phone is a vowel followed by a nasal and the phone is not in the last syllable in a phrase, then

$$\lambda_{18} = \lambda_{17}(1 - (m_{14}(1 - m_{17})))$$

else

$$\lambda_{18} = \lambda_{17}$$

If the phone is a vowel which is followed by a vowel, then

$$\lambda_{19} = \lambda_{18}m_{18}$$

and  $m_{18} = 1.4$ , else

$$\lambda_{19} = \lambda_{18}$$

If the phone is a vowel which is preceded by a vowel, then

$$\lambda_{20} = \lambda_{19}m_{19}$$

and  $m_{19} = 0.7$ , else

$$\lambda_{20} = \lambda_{19}$$

If the phone is an 'n' which is preceded by a vowel in the same word and followed by an unstressed vowel in the same word, then

$$\lambda_{21} = \lambda_{20}m_{20}$$

and  $m_{20} = 0.1$ , else

12

$$\lambda_{21} = \lambda_{20}$$

5 If the phone is a consonant preceded by a consonant in the same phrase and not followed by a consonant in the same phrase, then

$$\lambda_{22} = \lambda_{21} m_{21}$$

and  $m_{21} = 0.8$ , unless the consonants have the same place of articulation, in which case then

$$\lambda_{22} = \lambda_{21} m_{21} m_{22}$$

10 and  $m_{22} = 0.7$ , else

$$\lambda_{22} = \lambda_{21}$$

15 If the phone is a consonant not preceded by a consonant in the same phrase and followed by a consonant in the same phrase, then

$$\lambda_{23} = \lambda_{22} m_{23}$$

and  $m_{23} = 0.7$ , unless the consonants have the same place of articulation, in which case then

$$\lambda_{23} = \lambda_{22} m_{22} m_{23}$$

20 else

$$\lambda_{23} = \lambda_{22}$$

25 If the phone is a consonant preceded by a consonant in the same phrase and followed by a consonant in the same phrase, then

$$\lambda = \lambda_{23} m_{24}$$

and  $m_{24} = 0.5$ , unless the consonants have the same place of articulation, in which case then

$$\lambda = \lambda_{23} m_{22} m_{24}$$

30 else

$$\lambda = \lambda_{23}$$

35 The value  $r$  is determined as follows:

If the phone is a stressed vowel which is preceded by an unvoiced release or affricate, then  $t = 25$  milliseconds, otherwise  $t = 0$ .

- 5 In addition, if the phone is in an unstressed syllable, or the phone is placed after the nucleus of the syllable it is in, the minimum duration  $d_{\min}$  is cut in half before it is used in equation (1).

The preferred values for  $d_{\min}$ ,  $d_{\text{inherent}}$ ,  $t$ , and  $m_1$  through  $m_{24}$   
 10 were determined using standard numerical techniques to minimize the mean square differences of the durations calculated using equation (1) and actual durations from a database of recorded speech. The value for  $\lambda_{\text{initial}}$  was selected to be 1 during the determination of  $d_{\min}$ ,  $d_{\text{inherent}}$ ,  $t_1$ , and  $m_1$  through  $m_{24}$ . However,  
 15 during the actual conversion of text-to-speech, the preferred value for slower more understandable speech is  $\lambda_{\text{initial}} = 1.4$ .

The pre-processor 105 converts the output of the duration processor 104 and the text-to-phone processor 103 to appropriate  
 20 input for the neural network 106. The pre-processor 105 divides time up into a series of fixed-duration frames and assigns each frame a phone which is nominally being uttered during that frame. This is a straightforward conversion from the representation of each phone and its duration as supplied by the duration processor 104. The  
 25 period assigned to a frame will fall into the period assigned to a phone. That phone is the one nominally being uttered during the frame. For each of these frames, a phonetic representation is generated based on the phone nominally being uttered. The phonetic representation identifies the phone and the articulation characteristics associated with the phone. Tables 2-a through 2-f below list the  
 30 sixty phones and thirty-six articulation characteristics used in the preferred implementation. A context description for each frame is also generated, consisting of the phonetic representation of the frame, the phonetic representations of other frames in the vicinity of  
 35 the frame, and additional context data indicating syntactic

boundaries, word prominence, syllabic stress and the word category. In contrast to the prior art, the context description is not determined by the number of discrete phones, but by the number of frames, which is essentially a measure of time. In the preferred

5 implementation, phonetic representations for fifty-one frames centered around the frame under consideration are included in the context description. In addition, the context data, which is derived from the output of the text-to-phone processor 103 and the duration processor 104, includes six distance values indicating the distance in

10 time to the middle of the three preceding and three following phones, two distance values indicating the distance in time to the beginning and end of the current phone, eight boundary values indicating the distance in time to the preceding and following word, phrase, clause and sentence; two distance values indicating the

15 distance in time to the preceding and following phone; six duration values indicating the durations of the three preceding and three following phones; the duration of the present phone; fifty-one values indicating word prominence of each of the fifty-one phonetic representations; fifty-one values indicating the word category for

20 each of the fifty-one phonetic representations; and fifty-one values indicating the syllabic stress of each of the fifty-one frames.



Table 2-a

Phone	V o w e l	S e m i v o w e l	N a s a l	F r i c a t i v e	C l o s u r e	R e l e a s e	A f f r i c a t e	F l a p	S i l e n c e	L o w	M i d	H i g h	F r o n t	B a c k	T e n s e	L a x	S c h w a	W - g l i d e
aa	x									x				x	x			
ae	x									x			x			x		
ah	x										x			x		x		
ao	x										x			x		x		
aw	x									x				x	x			x
ax	x										x			x		x	x	
axh	x										x			x		x	x	
axr	x										x			x		x	x	
ay	x									x				x	x			
eh	x										x		x			x		
er	x										x			x	x			
ey	x										x		x		x			
ih	x											x	x			x		
ix	x											x	x			x	x	
iy	x											x	x		x			
ow	x										x			x	x			x
oy	x										x			x	x			
uh	x											x		x		x		
uw	x											x		x	x			x
ux	x											x	x		x			x

Table 2-b

Phone	V o w e l	S e m i v o w e l	N a s a l	F r i c a t i v e	C l o s u r e	R e l e a s e	A f f r i c a t e	F l a p	S i l e n c e	L o w	M i d	H i g h	F r o n t	B a c k	T e n s e	L a x	S c h w a	W - g l i d e
el		x																
hh		x																
hv		x																
l		x																
r		x																
w		x																
y		x										x		x				
em			x									x	x					
en			x															
eng			x															
m			x															
n			x															
ng			x															
f				x														
v				x														
th				x														
dh				x														
s				x														
z				x														
sh				x														

Table 2-c

Phone	V o w e l	S e m i v o w e l	N a s a l	F r i c a t i v e	C l o s u r e	R e l e a s e	A f f r i c a t e	F l a p	S i l e n c e	L o w	M i d	H i g h	F r o n t	B a c k	T e n s e	L a x	S c h w a	W - g l i d e
zh				x														
pcl					x													
bcl					x													
tcl					x													
dcl					x													
kcl					x													
gcl					x													
q					x													
p						x												
b						x												
t						x												
d						x												
k						x												
g						x												
ch							x											
jh							x											
dx								x										
nx			x					x										
sil									x									
epi									x									

Table 2-d

Phone	Y - g l i d e	C e n t e r i n g	L a b i a l	D e n t a l	A l v e o l a r	P a l a t a l	V e l a r	G l o t t a l	R e t r o f l e x	R o u n d	F 2 b a c k	L a t e r a l	S o n o r a n t	V o i c e d	A s p i r a t e d	S t o p	A r t i f a c t	S y l l a b i c
aa													x	x				x
ae		x											x	x				x
ah													x	x				x
ao		x								x			x	x				x
aw													x	x				x
ax													x	x				x
axh													x		x			x
axr									x				x	x				x
ay	x												x	x				x
eh		x											x	x				x
er		x							x				x	x				x
ey	x												x	x				x
ih		x											x	x				x
ix													x	x				x
iy	x										x		x	x				x
ow										x			x	x				x
oy	x									x			x	x				x
uh		x								x			x	x				x
uw										x			x	x				x
ux										x			x	x				x

Table 2-e

Phone	Y - g l i d e	C e n t e r i n g	L a b i a l	D e n t a l	A l v e o l a r	P a l a t a l	V e l a r	G l o t t a l	R e t r o f l e x	R o u n d	F 2 b a c k	L a t e r a l	S o n o r a n t	V o i c e d	A s p i r a t e d	S t o p	A r t i f a c t	S y l l a b i c
el												x	x	x				x
hh								x					x		x			
hv								x					x	x	x			
l												x	x	x				
r									x				x	x				
w			x							x			x	x				
y						x					x		x	x				
em			x										x	x				x
en					x								x	x				x
eng							x						x	x				x
m			x										x	x				
n					x								x	x				
ng							x						x	x				
f			x															
v			x											x				
th				x														
dh				x										x				
s					x													
z					x									x				
sh						x												

Table 2-f

Phone	Y - g l i d e	C e n t e r i n g	L a b i a l	D e n t a l	A l v e o l a r	P a l a t a l	V e l a r	G l o t t a l	R e t r o f l e x	R o u n d	F 2 b a c k	L a t e r a l	S o n o r a n t	V o i c e d	A s p i r a t e d	S t o p	A r t i f a c t	S y l l a b i c
zh						x								x				
pcl			x														x	
bcl			x											x				
tcl					x										x		x	
dcl					x											x		
kcl							x							x		x		
gcl							x									x		
q								x									x	x
p			x															
b			x															
t					x									x				
d					x													
k							x							x				
g							x											
ch						x												
jh						x												
dx					x									x				
nx					x									x				
sil													x	x				
epi																	x	

The neural network 106 accepts the context description supplied by the pre-processor 105 and based upon its internal weights, produces the acoustic representation needed by the synthesizer 107 to produce a frame of audio. The neural network 106 used in the preferred implementation is a four layer recurrent feed-forward network. It has 6100 processing elements (PEs) at the input layer, 50 PEs at the first hidden layer, 50 PEs at the second hidden layer, and 14 PEs at the output layer. The two hidden layers use sigmoid transfer functions and the input and output layers use linear transfer functions. The input layer is subdivided into 4896 PEs for the fifty-one phonetic representations, where each phonetic representation uses 96 PEs; 140 PEs for recurrent inputs, i.e., the ten past output states of the 14 PEs at the output layer; and 1064 PEs for the context data. The 1064 PEs used for the context data are subdivided such that 900 PEs are used to accept the six distance values indicating the distance in time to the middle of the three preceding and three following phones, the two distance values indicating the distance in time to the beginning and end of the current phone, the six duration values indicating the durations of the three preceding and three following phones, and the duration of the present phone; 8 PEs are used to accept the eight boundary values indicating the distance in time to the preceding and following word, phrase, clause and sentence; 2 PEs are used for the two distance values indicating the distance in time to the preceding and following phone; 1 PE is used for the duration of the present phone; 51 PEs are used for the fifty-one values indicating word prominence of each of the fifty-one phonetic representations; 51 PEs are used for the fifty-one values indicating the word category for each of the fifty-one phonetic representations; and 51 PEs are used for the fifty-one values indicating the syllabic stress of each of the fifty-one frames. The 900 PEs used to accept the six distance values indicating the distance in time to the middle of the three preceding and three following phones, the two distance values indicating the distance in time to the beginning and end of the current phone, the six duration

values, and the duration of the present phone are arranged such that a PE is dedicated to every value on a per phone basis. Since there are 60 possible phones and 15 values, i.e., the six distance values indicating the distance in time to the middle of the three preceding and three following phones, the two distance values indicating the distance in time to the beginning and end of the current phone, the six duration values, and the duration of the present phone, there are 900 PEs needed. The neural network 106 produces an acoustic representation of speech parameters that are used by the synthesizer 107 to produce a frame of audio. The acoustic representation produced in the preferred embodiment consist of fourteen parameters that are pitch; energy; estimated energy due to voicing; a parameter, based on the history of the energy value, which affects the placement of the division between the voiced and unvoiced frequency bands; and the first ten log area ratios derived from a linear predictive coding (LPC) analysis of the frame.

The synthesizer 107 converts the acoustic representation provided by the neural network 106 into an audio signal. Techniques that may be used for this include formant synthesis, multi-band excitation synthesis, and linear predictive coding. The method used in the preferred embodiment is LPC, with a variation in the excitation of an autoregressive filter that is generated from log area ratios supplied by the neural network. The autoregressive filter is excited using a two-band excitation scheme with the low frequencies having voiced excitation at the pitch supplied by the neural network and the high frequencies having unvoiced excitation. The energy of the excitation is supplied by the neural network. The cutoff frequency below which voiced excitation is used is determined by the following equation:

$$f_{\text{cutoff}} = 8000 \left( 1 - \frac{1 - \frac{VE}{E}}{(0.35 + \frac{3.5P}{8000})K} \right) + 2P \quad (2)$$



where  $f_{cutoff}$  is the cutoff frequency in Hertz,  $VE$  is the voicing energy,  $E$  is the energy,  $P$  is the pitch, and  $K$  is a threshold parameter. The values for  $VE$ ,  $E$ ,  $P$ , and  $K$  are supplied by the  
5 neural network 106.  $VE$  is a biased estimate of the energy in the signal due to voiced excitation and  $K$  is a threshold adjustment derived from the history of the energy value. The pitch and both energy values are scaled logarithmically in the output of the neural network 106. The cutoff frequency is adjusted to the nearest  
10 frequency that can be represented as  $(3n + \frac{1}{2})P$  for some integer  $n$ , as the voiced or unvoiced decision is made for bands of three harmonics of the pitch. In addition, if the cutoff frequency is greater than 35 times the pitch frequency, the excitation is entirely voiced.

15  
FIG. 2-1 and 2-2 demonstrate pictorially how the target acoustic representations 208 used in training the neural network are generated from the training text 200. The training text 200 is spoken and recorded generating a recorded audio message of the  
20 training text 204. The training text 200 is then transcribed to a phonetic form and the phonetic form is time aligned with the recorded audio message of the training text 204 to produce a plurality of phones 201, where the duration of each phone in the plurality of phones varies and is determined by the recorded audio  
25 message 204. The recorded audio message is then divided into a series of audio frames 205 with a fixed duration 213 for each audio frame. The fixed duration is preferably 5 milliseconds. Similarly, the plurality of phones 201 is converted into a series of phonetic representations 202 with the same fixed duration 213 so that for each  
30 audio frame there is a corresponding phonetic representation. In particular, the audio frame 206 corresponds to the assigned phonetic representation 214. For the audio frame 206 a context description 207 is also generated including the assigned phonetic representation 214 and the phonetic representations for a number of audio frames

on each side of the audio frame 206. The context description 207 may preferably include context data 216 indicating syntactic boundaries, word prominence, syllabic stress and the word category. The series of audio frames 205 is encoded using an audio or speech  
5 coder, preferably a linear predictive coder, to produce a series of target acoustic representations 208 so that for each audio frame there is a corresponding assigned target acoustic representation. In particular, the audio frame 206 corresponds with the assigned target acoustic representation 212. The target acoustic representations 208  
10 represent the output of the speech coder and may consist of a series of numeric vectors describing characteristics of the frame such as pitch 209, the energy of the signal 210 and a log area ratio 211.

FIG. 3 illustrates the neural network training process that must  
15 occur to set-up the neural network 106 prior to normal operation. The neural network produces an output vector based on its input vector and the internal transfer functions used by the PEs. The coefficients used in the transfer functions are varied during the training process to vary the output vector. The transfer functions  
20 and coefficients are collectively referred to as the weights of the neural network 106, and the weights are varied in the training process to vary the output vector produced by a given input vector. The weights are set to small random values initially. The context description 207 serves as an input vector and is applied to the inputs  
25 of the neural network 106. The context description 207 is processed according to the neural network weight values to produce an output vector, i.e., the associated acoustic representation 300. At the beginning of the training session the associated acoustic representation 300 is not meaningful since the neural network  
30 weights are random values. An error signal vector is generated in proportion to the distance between the associated acoustic representation 300 and the assigned target acoustic representation 211. Then the weight values are adjusted in a direction to reduce this error signal. This process is repeated a number of times for the  
35 associated pairs of context descriptions 207 and assigned target

acoustic representations 211. This process of adjusting the weights to bring the associated acoustic representation 300 closer to the assigned target acoustic representation 211 is the training of the neural network 106. This training uses the standard back  
5 propagation of errors method. Once the neural network 106 is trained, the weight values possess the information necessary to convert the context description 207 to an output vector similar in value to the assigned target acoustic representation 211. The preferred neural network implementation discussed above with  
10 reference to FIG. 1 requires up to ten million presentations of the context description 207 to its inputs and the following weight adjustments before it is considered to be fully trained.

FIG. 4 illustrates how a text stream 400 is converted into  
15 audio during normal operation using a trained neural network 106. The text stream 400 is converted to a series of phonetic frames 401 having the fixed duration 213 where the representation of each frame is of the same type as the phonetic representations 203. For each assigned phonetic frame 402, a context description 403 is  
20 generated of the same type as the context description 207. This is provided as input to the neural network 106, which produces a generated acoustic representation 405 for the assigned phonetic frame 402. Performing the conversion for each assigned phonetic frame 402 in the series of phonetic frames 401 produces a plurality  
25 of acoustic representations 404. The plurality of acoustic representations 404 are provided as input to the synthesizer 107 to produce audio 108.

FIG. 5 illustrates a preferred implementation of a phonetic  
30 representation 203. The phonetic representation 203 for a frame consists of a binary word 500 that is divided into the phone ID 501 and the articulation characteristics 502. The phone ID 501 is simply a one-of-N code representation of the phone nominally being articulated during the frame. The phone ID 501 consists of N bits,  
35 where each bit represents a phone that may be uttered in a given

frame. One of these bits is set, indicating the phone being uttered, while the rest are cleared. In FIG. 5, the phone being uttered is the release of a B, so the bit B 506 is set and the bits AA 503, AE 504, AH 505, D 507, JJ 508, and all the other bits in the phone ID 501 are cleared. The articulation characteristics 502 are bits that describe the way in which the phone being uttered is articulated. For example, the B described above is a voiced labial release, so the bits vowel 509, semivowel 510, nasal 511, artifact 514, and other bits that represent characteristics that a B release does not have are cleared, while bits representing the characteristics that a B release has, such as labial 512 and voiced 513, are set. In the preferred implementation, where there are 60 possible phones and 36 articulation characteristics, the binary word 500 is 96 bits.

The present invention provides a method for converting text into audible signals, such as speech. With such a method, a speech synthesis system is trained to produce a speaker's voice automatically, without the tedious rule generation required by synthesis-by-rule systems or the boundary matching and smoothing required by concatenation systems. This method provides an improvement over previous attempts to apply neural networks to the problem, as the context description used does not result in large changes at phonetic representation boundaries.

## Claims

- 5     1.     A method for converting text into audible signals, the method comprises the steps of:

          during set-up:

- 10    1a)   providing recorded audio messages;
- 1b)   dividing the recorded audio messages into a series of audio frames, wherein each audio frame has a fixed duration;
- 15    1c)   assigning, for each audio frame of the series of audio frames, a phonetic representation of a plurality of phonetic representations;
- 1d)   generating a context description of a plurality of context  
20    descriptions for the each audio frame based on the phonetic representation of the each audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;
- 25    1e)   assigning, for the each audio frame, a target acoustic representation of a plurality of acoustic representations;
- 1f)   training a neural network to associate an acoustic representation of the plurality of acoustic representations  
30    with the context description of the each audio frame, wherein the acoustic representation;

          during normal operation:

- 35    1g)   receiving a text stream;

- 1h) converting the text stream into a series of phonetic frames, wherein a phonetic frame of the series of phonetic frames includes one of the plurality of phonetic representations, and wherein the phonetic frame has the fixed duration;
- 1i) assigning one of the plurality of context descriptions to the phonetic frame based on the one of the plurality of phonetic representations and phonetic representations of at least some other phonetic frames of the series of phonetic frames;
- 1j) converting, by the neural network, the phonetic frame into one of the plurality of acoustic representations, based on the one of the plurality context descriptions; and
- 1k) converting the one of the plurality of acoustic representations into an audible signal.
2. The method of claim 1, wherein at least one of:
- 2a) step (1c) further comprises defining the phonetic representation to include a phone, and where selected, wherein step (1c) further comprises representing the phone as a binary word, where one bit of the binary word is set and any remaining bits of the binary word are not set;
- 2b) step (1c) further comprises defining the phonetic representation to include articulation characteristics;
- 2c) step (1e) further comprises defining the plurality of acoustic representations as speech parameters;
- 2d) step (f) further comprises defining the neural network as a feed-forward neural network;

2e) step (1f) further comprises training the neural network using back propagation of errors;

2f) step (1f) further comprises defining the neural network to have a recurrent input structure;

5        2g) step (1f) further comprises generating syntactic boundary information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;

10       2h) step (1d) further comprises generating phonetic boundary information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;

15       2i) step (1d) further comprises generating a description of prominence of syntactic information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames; and

2j) step (1g) further comprises defining the text stream as a phonetic form of a language.

20

3. A method for creating a neural network that is used to convert text into audible signals, the method comprising the steps of:

25       3a) providing recorded audio messages;

3b) dividing the recorded audio messages into a series of audio frames, wherein each audio frame has a fixed duration;

30       3c) assigning, for each audio frame of the series of audio frames, a phonetic representation of a plurality of phonetic representations;

35       3d) generating a context description of a plurality of context descriptions for the each audio frame based on the phonetic

representation of the each audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;

- 5     3e) assigning, for the each audio frame, a target acoustic representation of a plurality of acoustic representations;

- 3f) training a neural network to associate an acoustic representation of the plurality of acoustic representations  
10     with the context description of the each audio frame, wherein the acoustic representation substantially matches the target acoustic representation.

4.     The method of claim 3, wherein at least one of:

- 15     4a) step (3c) further comprises defining the phonetic representation to include a phone, and where selected, wherein step (3c) further comprises representing the phone as a binary word, where one bit of the binary word is set and any remaining bits of the binary word are not set;

- 20     4b) step (3e) further comprises defining the phonetic representation to include articulation characteristics;

4c) step (3f) further comprises defining the plurality of acoustic representations as speech parameters;

- 25     4d) step (3f) further comprises defining the neural network as a feed-forward neural network;

4e) step (3f) further comprises training the neural network using back propagation of errors;

4f) step (3f) further comprises defining the neural network to have a recurrent input structure;

- 30     4g) step (3d) further comprises generating syntactic boundary information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;

- 35     4h) step (3d) further comprises generating phonetic boundary information based on the phonetic representation of



the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames; and

4i) step (3d) further comprises generating a description of prominence of syntactic information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames.

5. A method for converting text into audible signals, the method comprises the steps of:

5a) receiving a text stream;

5b) converting the text stream into a series of phonetic frames, wherein a phonetic frame of the series of phonetic frames includes one of a plurality of phonetic representations, and wherein the phonetic frame has a fixed duration;

5c) assigning one of a plurality of context descriptions to the phonetic frame based on one of the plurality of phonetic representations and phonetic representations of at least some other phonetic frames of the series of phonetic frames;

5d) converting, by a neural network, the phonetic frame into one of a plurality of acoustic representations, based on one of the plurality context descriptions;

5e) converting the one of the plurality of acoustic representations into an audible signal.

6. The method of claim 5, wherein at least one of:

6a) step (5b) further comprises defining the phonetic representation to include a phone, and, where selected, wherein step (5b) further comprises representing the phone as

a binary word, where one bit of the binary word is set and any remaining bits of the binary word are not set;

6b) step (5b) further comprises defining the phonetic representation to include articulation characteristics;

5 6c) step (5d) further comprises defining the plurality of acoustic representations as speech parameters;

6d) step (5d) further comprises defining the neural network as a feed-forward neural network;

10 6e) step (5d) further comprises defining the neural network to have a recurrent input structure;

6f) step (5c) further comprises generating syntactic boundary information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames;

15 6g) step (5c) further comprises generating phonetic boundary information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames; and

20 6h) step (5c) further comprises generating a description of prominence of syntactic information based on the phonetic representation of the audio frame and the phonetic representation of at least some other audio frames of the series of audio frames; and

25 6i) step (5a) further comprises defining the text stream as a phonetic form of a language.

7. A device for converting text into audible signals comprising:

30 a text-to-phone processor, wherein the text-to-phone processor translates a text stream into a series of phonetic representations;

a duration processor, operably coupled to the text-to-phone processor, wherein the duration processor generates duration data for the text stream;

- 5 a pre-processor, wherein the pre-processor converts the series of phonetic representation and the duration data into a series of phonetic frames, wherein each phonetic frame of the series of phonetic frames is of a fixed duration and has a context description, and wherein the context description is  
10 based on the each phonetic frame of the series of phonetic frames and at least some other phonetic frame of the series of phonetic frames;

- a neural network, wherein the neural network generates an  
15 acoustic representation for a phonetic frame of the series of phonetic frames based on the context description.

8. The device of claim 7 further comprising:

- 20 a synthesizer, operable connected to the neural network, that produces an audible signal in response to the acoustic representation.

9. A vehicular navigation system comprising:

- 25 a directional database consisting of a plurality of text streams;

- a text-to-phone processor, operably coupled to the directional  
30 database, wherein the text-to-phone processor translates a text stream of the plurality of text streams into a series of phonetic representations;

a duration processor, operably coupled to the text-to-phone processor, wherein the duration processor generates duration data for the text stream;

- 5 a pre-processor, wherein the pre-processor converts the series of phonetic representation and the duration data into a series of phonetic frames, wherein each phonetic frame of the series of phonetic frames is of a fixed duration and has a context description, and wherein the context description is  
10 based on the each phonetic frame of the series of phonetic frames and at least some other phonetic frame of the series of phonetic frames;

- 15 a neural network, wherein the neural network generates and acoustic representation for a phonetic frame of the series of phonetic frames based on the context description.

10. The vehicular navigation system of claim 9 further comprising:

- 20 a synthesizer, operably connected to the neural network, that produces an audible signal in response to the acoustic representation.

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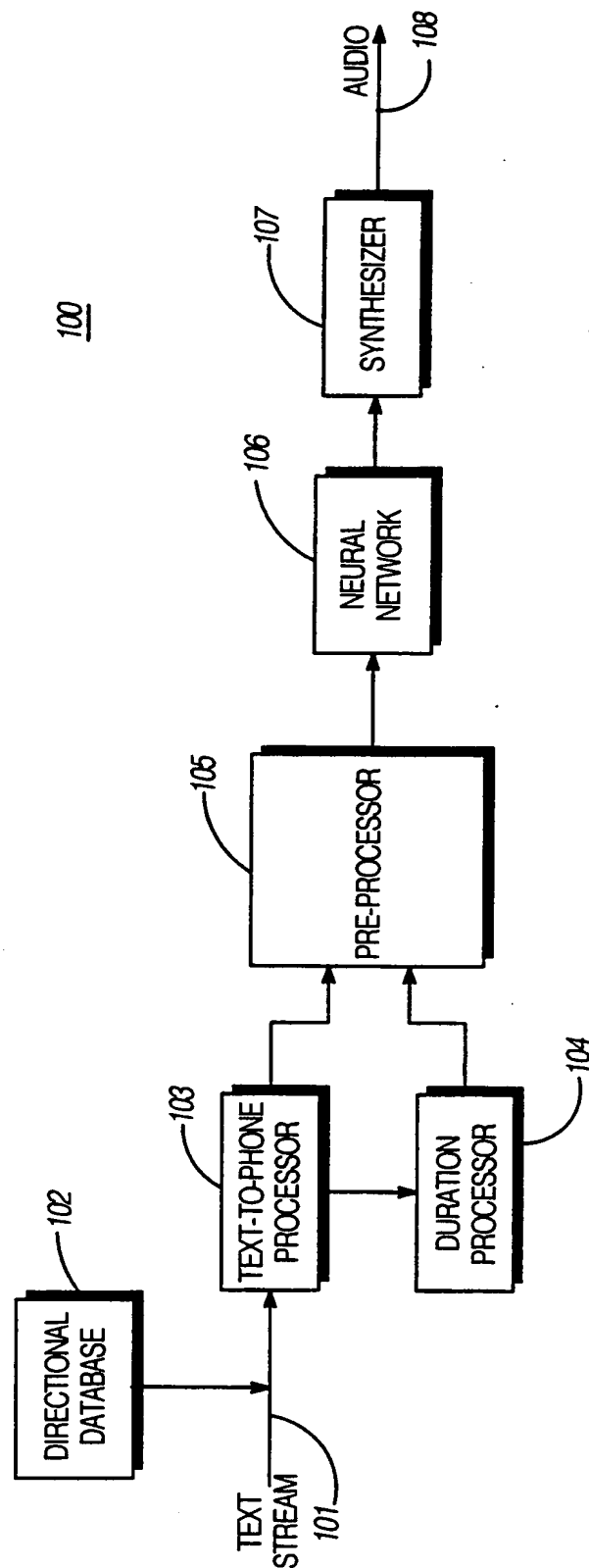


FIG. 1

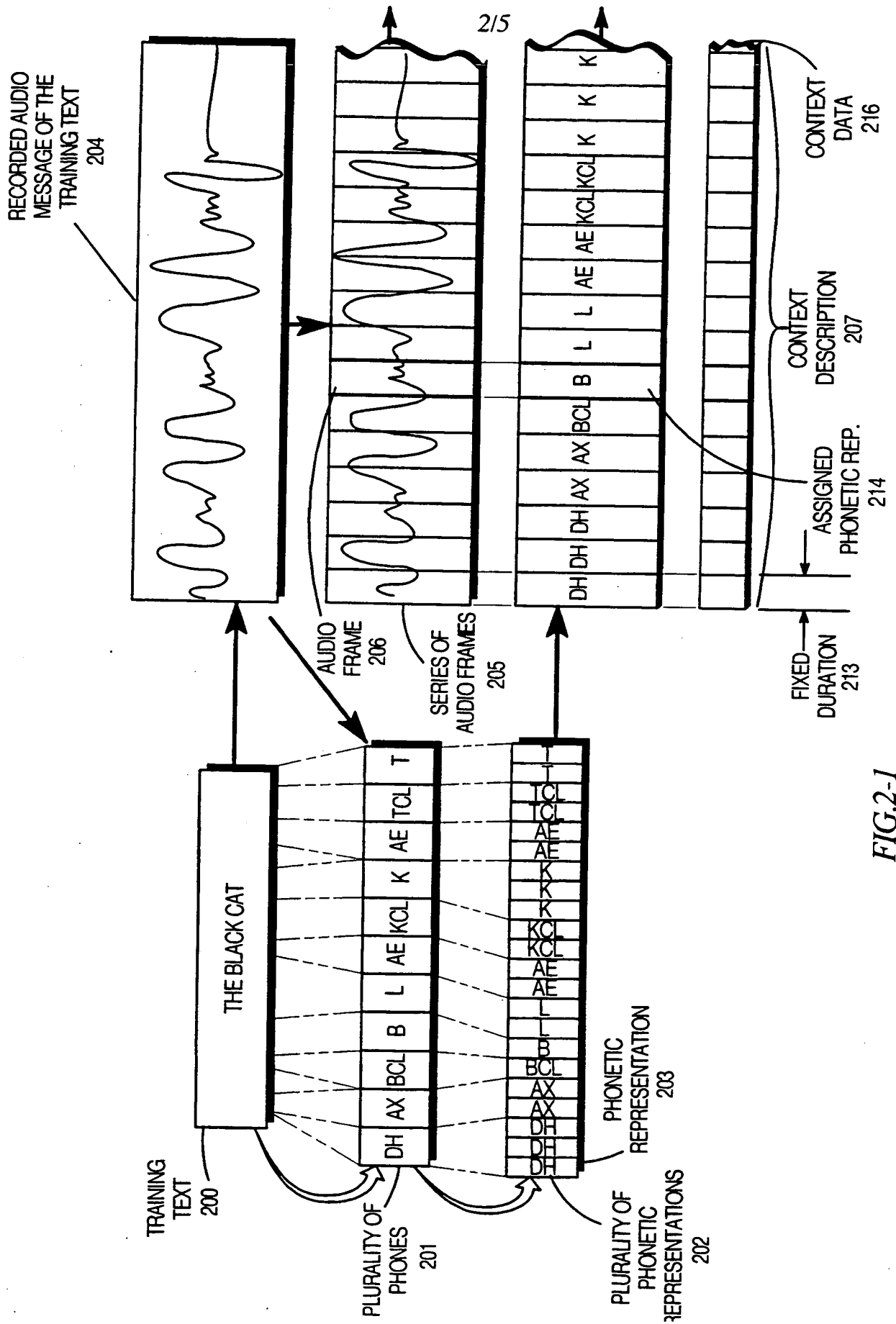


FIG. 2-1

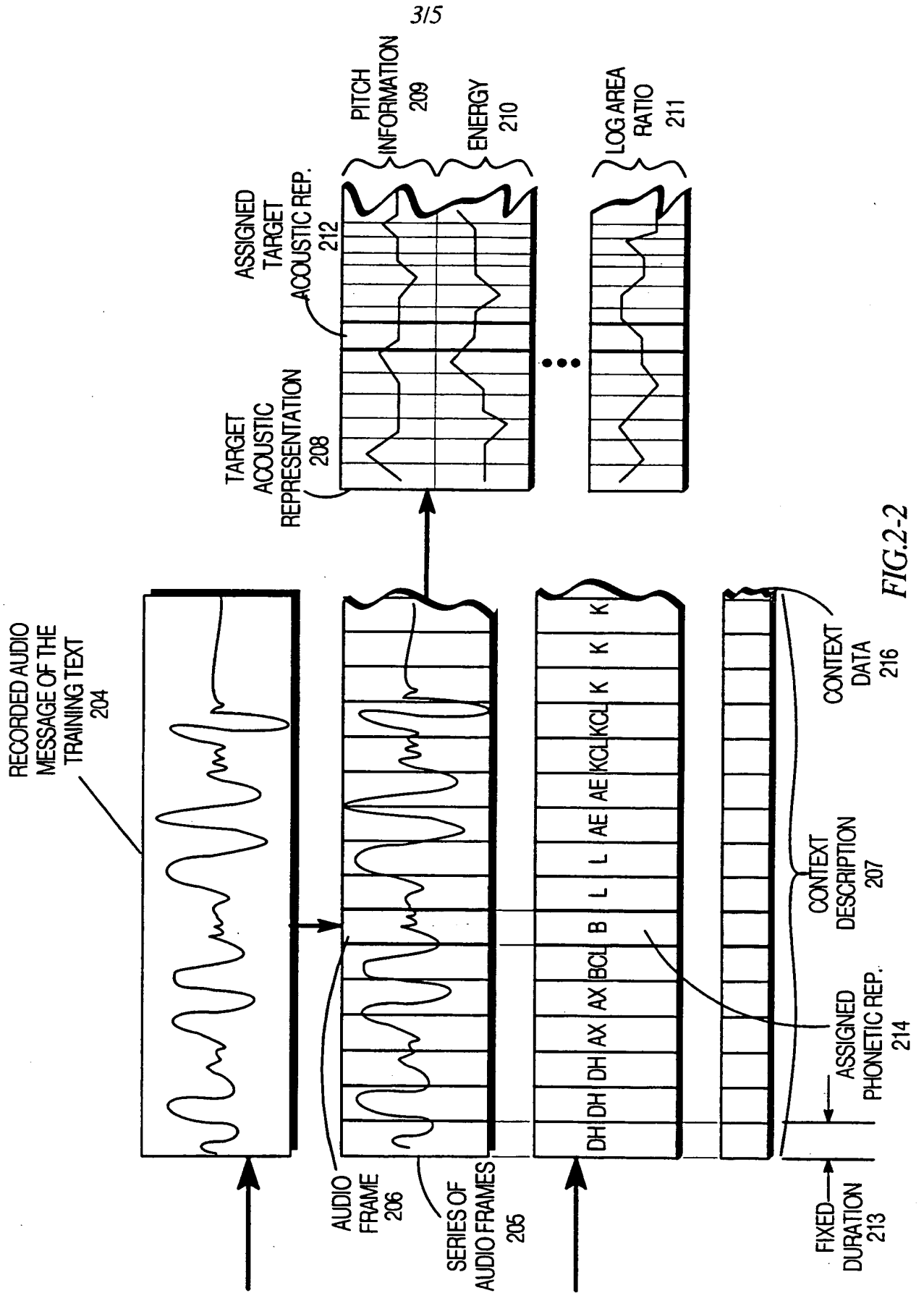
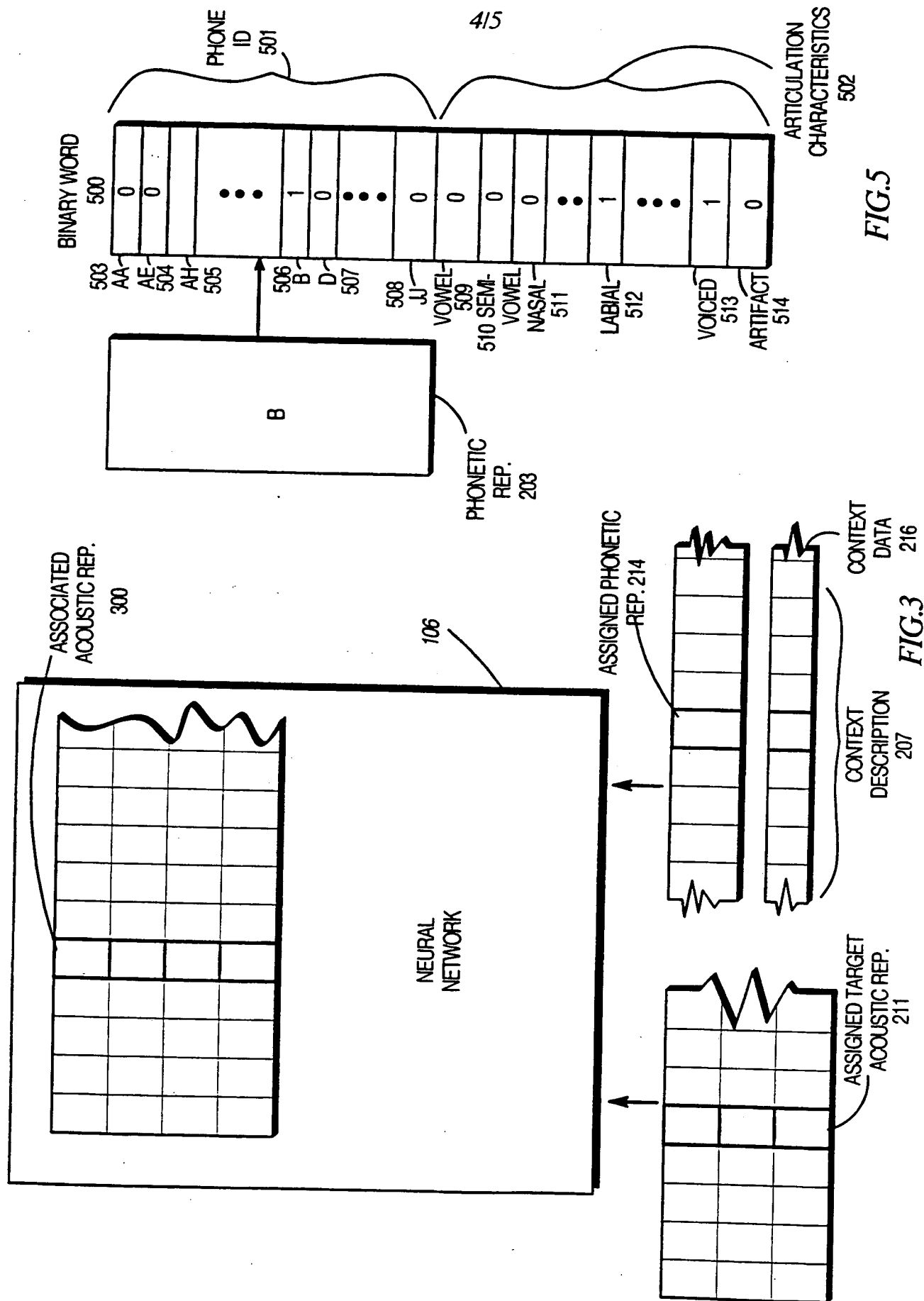


FIG.2-2





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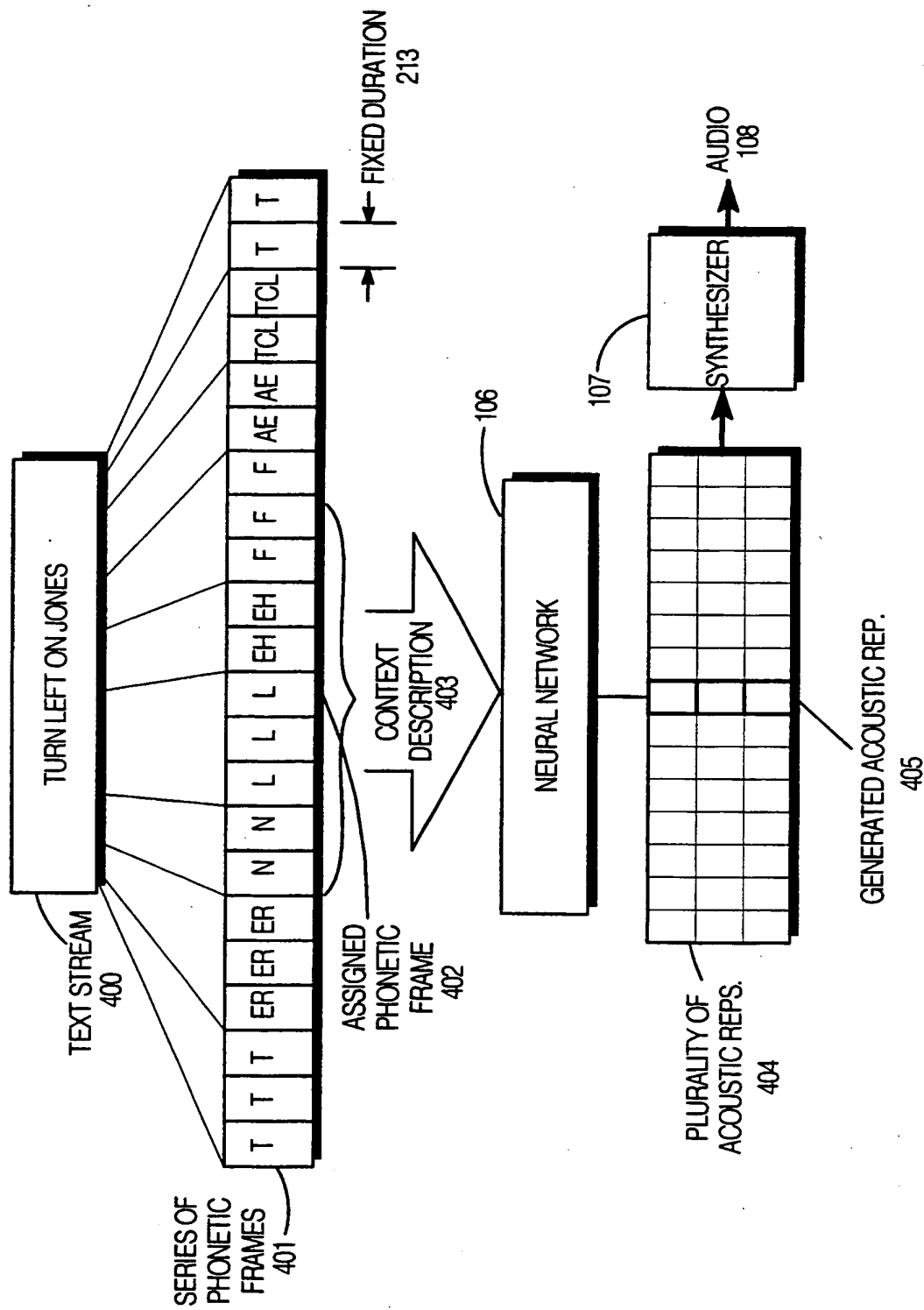


FIG. 4

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/03492

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G06F 15/18

US CL : 395/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 395/22, 2, 2.11, 2.67, 2.68, 2.69, 2.79, 23; 381/52

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, IEE/IEEE CD ROM

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US, A, 5,163,111 (BAJI ET AL) 10 November 1992, col. 8, line 27 -- col. 10, line 11	1-8 ----- 9-10
Y	US, A, 5,041,983 (NAKAHARA ET AL) 20 August 1991, col. 3, lines 19-39	9-10

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

17 APRIL 1995

Date of mailing of the international search report

10 JUL 1995

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